Dendritic Polymers

Everything You Always Wanted to Know about Poly-L-lysine Dendrigrafts (But Were Afraid to Ask)

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Abstract: Less than a decade ago, dendrigrafts of poly-l-lysine (DGLs) joined the family of polycationic dendritic macromolecules. Resulting from the iterative polycondensation of an N-carboxyanhydride in water, four generations of the dendrigraft can be obtained on a multigram scale and without chromatographic purification. DGLs share features with both dendrimers and hyperbranched polymers, but turned out to have unique biophysical and bioactive properties. The macromolecules—in their native form or functionalized—have been extensively characterized by various analytical and computational methods, and have already found numerous applications in the biomedical field, such as drug and gene delivery, biomaterials, tissue engineering, bioimaging, and biosensing. Despite a growing interest for DGLs, there is still plenty of room for further exciting developments that could result from a better exposure of these macromolecules, which is the ambition of this short review.

Introduction

Dendritic polymers are the fourth major class of synthetic polymer architectures (after linear, crosslinked and branched polymers). Among them, dendrimers are macromolecular objects obtained after a generational synthesis (Figure 1).[1] This route engages successive cycles of protection/deprotection or activation steps on monomeric building blocks, resulting into the formation of theoretically monodisperse structures. In contrast to dendrimers, hyperbranched polymers are polydisperse. They are obtained by the self-condensation of unprotected building blocks, in a so-called one-pot synthesis. Starting from a building block with three branching points, one can theoretically obtain \( 2^{n-1} \) (excluding any operation of symmetry) structures for a polymer made of \( n \) monomers. Finally, dendrigrafts—the youngest member of the family of dendritic polymers—lies in between the above two.[2] The synthetic route to dendrigrafts is generational, but usually involves cycles of polymerization initiated from functional sites located on a core polymer. As a result, dendrigrafts share features with both dendrimers (i.e., generation-based growth and narrow molecular weight distribution), and hyperbranched polymers (i.e., broad number of regioisomers and rapid increase in molecular weight per generation).

Among the impressive number of dendritic polymers reported in the literature so far, the poly(amine)-based ones, which are represented by the prominent poly(amidoamine) (PAMAM) and poly(l-lysine) (DPL) dendrimers, the hyperbranched poly(ethyleneimine) polymers (PEI) and poly(l-lysine) (HPL) polymers, and the linear poly(l-lysine) polymers (LPL), certainly attracted the most attention from the community of researchers working in the field of chemical biology. Historically, poly-l-lysine dendrons were reported for the first time in a patent by Denkewalter et al. before the advent of PAMAMs,[3, 4] and were rapidly exploited during the 1980s for the creation of synthetic vaccines.[5] The polycationic nature of these poly(amine)-based polymers at physiological pH is appropriate to cellular adhesion, endocytosis, and intracellular trafficking for therapeutic delivery, genetic material transfection, or imaging in cellulo.[6] However, these abiotic macromolecules exhibit some unwanted features considering their cytotoxicity and non-degradability (PAMAM and PEI), low synthetic availability (PAMAM and DPL), non-sustainable synthesis (PAMAM, DPL and PEI), high dispersities (PEI, HPL and LPL), and batch-to-batch variation (PEI and HPL),[7–10] highlighting therefore the need of a new generation of dendritic polymers that overcome those major drawbacks for real-world bio-applications.[11]
This review aims at concisely presenting the innovative works reported to date in the literature on a very recent class of polycationic dendritic polymers, the poly-L-lysine dendrigrafts (DGLs), regarding their chemical and biological features.

**Chemistry**

**Synthesis**

Through investigations on the possible role of the N-carboxyanhydride (NCA) motif in the chemistry of the prebiotic world, Commeyras et al. discovered that its aminolysis occurs one hundred times faster than its hydrolysis in weakly acidic aqueous solutions, paving the way of a new synthetic route toward dendritic polypeptides. In water at pH 6.5, the slow hydrolysis of the side chain-protected building block Lys(Tfa)-NCA (Tfa = trifluoroacetyl; Figure 2) unlocks its α-amine function that quickly reacts with a non-hydrolysed Lys(Tfa)-NCA molecule to form an amide bond. After multiple similar condensation reactions, the spontaneous precipitation of a side chain-protected linear poly-L-lysine occurs in the reaction medium. A key factor in the success of this synthetic route is certainly the decrease in solubility of the macromolecules as their molecular weight increases, which is due to the hydrophobicity of Nα-protected side chains. Subsequent isolation by centrifugation and aqueous alkaline deprotection afford poly-L-lysine G1. By applying the previous sequence in the presence of G1 as a macro-initiator, the second-generation dendrigraft G2 is obtained. It was essential to limit the Lys(Tfa)-NCA/macro-initiator ratio during this step, so that linear oligomers do not grow in the reaction medium. From this generational approach four generations of DGLs G1–G4 can be synthesized with typical yields of 50–60% on a multigram scale, thanks to a simple, sustainable, and scalable procedure with no batch-to-batch variability (up to the seventh-generation dendrigraft can actually be obtained using this protocol, but all the reported applications in this review only involve the first- to fourth-generation DGLs).

**Characterization**

Various analytical techniques were used to extensively characterize the poly-L-lysine dendrigrafts, including NMR spectrometry, dynamic light scattering, Taylor dispersion analysis, size

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Figure 2. Synthetic route toward first- to fourth-generation DGLs G1–G4, and their respective schematic representation (each dot represents a L-lysine residue, pending free amino groups are not represented). The synthesis of each generation involves the following sequence: polycondensation in water, centrifugation for collecting the precipitate, alkaline deprotection of the side chains, and concentration in vacuo.
exclusion chromatography,\textsuperscript{[13]} refractometry,\textsuperscript{[14]} capillary isotachophoresis,\textsuperscript{[15]} potentiometry,\textsuperscript{[16]} colorimetry,\textsuperscript{[17]} cyclic voltammetry,\textsuperscript{[18]} and Raman spectroscopy. Table 1 gathers few selected physicochemical properties for DGLs G1–G4. It should be highlighted that such a simple synthetic procedure exerts an unexpected control on the molecular weight and diversity of the resulting dendrigrafts. Indeed, polydispersity indexes measured for DGLs (1.20–1.46) are below those of both LPLs and HPLs (1.3–2.6 and 1.8–2.6, respectively), and are comparable to those of genuine DPLs (1.1–1.5), which are only accessible through a laborious multistep process.\textsuperscript{[9]} One may assume that it results from a kinetic control linked to steric hindrance between the growing chains, as well as a thermodynamic control linked to the precipitation of the protected polymers in water. Since DGLs are made of L-lysine residues, they could initially be assimilated to proteins. However, some of the residues are linked to each other through isopeptidic bonds (i.e., amide linkages that involve the nitrogen atom of the lateral chain of the amino acids), resulting in the simultaneous presence of free ε- and α-amino groups in the biopolymers. Potentiometric titrations suggested that only the ε-amines were protonated at physiological pH.\textsuperscript{[16]} In addition, microsecond molecular dynamics simulations on DGLs G1–G4 revealed that the dendrigrafts adopt intermediate morphologies between DPLs and LPLs, which may remind those of unfolded and intrinsically disordered proteins. The simulations also highlighted their unique conformational plasticity (Figure 3), which may explain why DGLs are such efficient binders for anionic biomolecules, even in the most competitive media (vide infra).

### Functionalization and immobilization

The presence of multiple amino groups on the arms, but also a free carboxylic acid function at the core of the native biomacromolecules, opens the door to various modifications toward the implementation of tailored features such as targeting, immobilization, fluorescence, or pharmacokinetic effects (Figure 4). The desired feature can be introduced either: 1) directly (e.g., by a reductive amination for addressing DGLs with sugar moieties), if the chosen motif is adapted to coupling reactions that involve an amino group, or 2) through an intermediate linker (e.g., by a Michael addition for addressing DGLs with thiol-containing peptidic residues) if the chosen motif is unreactive in—or not compatible with—coupling reactions that involve an amino group. The linker may also carry non-innocent properties. For instance, a polyethylene glycol (PEG)-based linker may be of interest in order to extend the residence time in blood, reduce non-specific cellular uptake, or further lower the cytotoxicity of the nanoparticles. On the other hand, the core functionalization of DGLs involved the use of a pre-functionalized lysine building block that is introduced during the preparation of the first-generation dendrigraft. In contrast to the functionalization on their arms that leads to the simultaneous introduction of multiple motifs, the latter approach allows the introduction of a single motif on DGLs’ skeleton.

The chemical modification of few terminal amine groups through the succinic anhydride motif led to efficient grafting

| Table 1. Selected physicochemical properties of DGLs G1–G4 (with the corresponding references). |
|---------------------------------|---------|---------|---------|---------|
| G1    | G2    | G3    | G4    |
| molecular weight [g mol\(^{-1}\)] | 11.800 | 32100  | 88.800 | \[12\] |
| degree of polymerization         | 8      | 48     | 123    | 365    | \[12\] |
| branching ratio [%]              | –      | 12     | 24     | 23     | \[16\] |
| polydispersity index             | 1.20   | 1.38   | 1.46   | 1.36   | \[12\] |
| hydrodynamic radius [nm]         | 0.77   | 1.40   | 2.14   | 3.00   | \[13\] |
| no. of peptidic bonds            | 7      | 41     | 92     | 280    | \[16\] |
| no. of isopeptidic bonds         | 0      | 6      | 30     | 84     | \[16\] |
| net charge at physiological pH   | –      | +41    | +92    | +280   | \[16\] |

**Figure 3.** From left to right: snapshots of the first- to fourth-generation DGLs G1–G4 from microsecond MD simulations. Blue dots highlight the positively charged nitrogen atoms at physiological pH. Adapted with permission from reference \[16\], copyright: 2017 American Chemical Society.
of the third-generation DGL G3 onto plasma activated surfaces (i.e., PPE, polyimide, polystyrene, PTFE). It turned out that the interactions of anionic ATP molecules on the surfaces were identical to that of the free DGL in solution in term of stoichiometry, showing that the immobilization procedure does not alter the binding properties of the dendritic polymer. Unmodified DLGs can also be attached on a solid support by using reactive polystyrene that bears epoxy functionalities.

Biology

Biocompatibility

In order to enter the real world of industrial applications, such as drug and gene delivery, biomaterial and tissue engineering, bioimaging or biosensing, polymers should display a number of crucial properties that we believe DGLs exhibit. Indeed, it was demonstrated that DGLs are readily soluble in water at least up to 60 g L\(^{-1}\) in water,\(^{[12]}\) are stable under sterilization conditions,\(^{[28]}\) and are partially degradable under the action of endogenous peptidases.\(^{[29]}\) In addition, these biomacromolecules are non-immunogenic\(^{[30]}\) and carry low cytotoxicity (i.e., IC50 values on Hep2 cells of 16.8, 13.2, and 13.9 \(\mu\)g mL\(^{-1}\) from second- to fourth-generation DGL, respectively),\(^{[31]}\) in comparison with other polycationic polymers (e.g., about 90% viability for DGL G3 versus less than 50% viability for hyperbranched PEI at a same concentration of 12.5 \(\mu\)g mL\(^{-1}\) on HeLa cells).\(^{[32]}\) As a result, DGLs have already attracted the interest of multiple research groups during the last five years, and found numerous applications in the biomedical field, in which the ability of the arborescent polymers to display multiple functionalities has been exploited. Selected examples are presented below.

Biocides

Because polycationic polymers are known to possess inherent antibacterial activities that result from the binding to the microorganisms’ cytoplasmic membrane and to their subsequent disruption, native DGLs have been considered as potential antibiotics. The interactions between DGLs and Gram-negative bacteria (i.e., Erwinia carotovora) were studied by Cottet et al. using capillary electrophoresis, with reported binding affinities in the micromolar range, and binding stoichiometries that decrease with increasing DGL generation (i.e., from 10.2 \(\times\) 10\(^{6}\) to 1.1 \(\times\) 10\(^{6}\) for DGL G2 to G4, respectively).\(^{[33]}\) Moreover, a fast bacteriolytic activity of the second-generation DGL G2 was observed against Erwinia carotovora under low salt conditions.\(^{[34]}\) This observation is interesting since Gram-negative bacteria—due to a largely impermeable cell wall—are more resistant to
antibiotics than Gram-positive bacteria. Although it is evident that native DGLs display a small therapeutic window with respect to their antibacterial activity/cytotoxicity balance, one can now envision chemical modifications of DGLs, such as for example the quaternionization of the free nitrogen atoms or the reduction of the charge density by the introduction of PEG chains, in order to improve both their biocide properties against Gram-negative bacteria and their cytocompatibility.\[35\]

**Drug and gene delivery**

Several obstacles such as fast degradation, low penetration through membranes, or low selectivity for target cells, often hinder the efficient delivery of bioactive molecules, including peptides or proteins. Sideratou et al. reported the efficient complexation of insulin with native and guanidine end-functionalized DGL G2, through electrostatic interactions between the partners at physiological pH.\[36\] The protection against enzymatic degradation as well as the retardation of insulin release in simulated intestinal fluid, which were found to be positively related to the number of guanidine end-groups, open interesting perspectives in the delivery of insulin to systemic circulation through oral administration. A therapeutic drug can also be covalently attached to a cationic dendrigraft, to obtain small-sized nanoparticles with strong penetrability in cells and tissues. However, small-sized nanoparticles are hampered by their fast systemic clearance and limited tumour retention. To address this issue, Gao et al. decorated a third-generation DGL G3 with multiple anticancer doxorubicin (Dox) motifs, which was itself then conjugated on the surface of large-sized gelatin nanoparticles.\[37\] Once in tumour microenvironments, high concentrations of the gelatin-degrading enzyme MMP-2 induced a shrinking event towards small-sized DGL-Dox conjugates. Such a multistage approach can let one take advantage of both the enhanced retention properties of large-sized nanoparticles, and the tumour penetration of small-sized nanoparticles. To further improve the tumour targeting, an additional arginine–glycine–aspartic acid ligand, which is known to bind lamini receptors expressed on the blood–brain barrier and on cerebral glial cancer cells, can also be introduced on the nanotherapeutics’ surface.\[38\]

In contrast to drug-based medicine, gene therapy targets the molecular cause of a disease instead of healing its consequences. It was demonstrated that positively charged amino groups of DGLs can interact with negatively charged phosphates of nucleic acids to yield polyplexes that protect the genetic material against DNAse I attack, and promote its cellular uptake by means of an endocytosis or endocytosis-like mechanism.\[39\] Regarding their plasmid DNA (pDNA) and silencing RNA (siRNA) internalizing properties in Hep2 cells, DGLs can be ranked as follows: G3 > G4 > G2, with a higher effectiveness than the commercial transfection reagent Lipofectamine 2000. However, unmodified DGLs displayed disappointing transfection capabilities, which may result from their cytotoxicity at the high concentrations required in nucleic acid delivery experiments. Gene expression could be significantly boosted by the introduction of PEG chains on the dendrigrafts. For both DGLs G2 and G3, an increase in the covalent PEGylation extent resulted in an increase (i.e., up to four times) in gene pEGFP-N2 expression in HEK 293 cells, as well as a decrease of the polyplexes’ cytotoxicity (e.g., at 200 μg/mL, from 83 ± 3% to 92 ± 7% cell viability with a second-generation native DGL and a second-generation DGL-PEG, respectively).\[39\] These PEG chains can also be further labelled with known ligands for membrane proteins, thereby rendering gene vectors with targeting properties. For example, PEGylated DGL G3 was decorated by Jiang et al. (who are certainly the most active research group in the field of drug/gene/probe delivery using DGLs) with the short peptide EPRNEEK, which is known to bind lamin receptors expressed on the blood–brain barrier and on cerebral glial cancer cells.\[40\] The resulting nanoparticles DGL-PEG-EPRNEEK were able to deliver in vivo a siRNA against survivin, which is an apoptosis inhibitor protein. In glioma-bearing mice, the untreated groups exhibited an early death as a function of time (i.e., 50% survival at 34 days), while DGLs-PEG/survivin groups showed no prolonged survival time, which was only increased in G3-PEG-EPRNEEK/survivin groups (i.e., 50% survival at 41 days). However, the expression of target receptors on normal cells and tissues is inevitable, which may lead to side effects of the nanoparticles. Some intrinsic characteristics of the tumour microenvironment can be exploited towards enhanced tumour/background accumulation ratio. Jiang et al. showed that the variation of the extracellular pH could be used to activate/inactivate a receptor-mediated endocytosis by conjugating the shielding molecule diethylenetriaminepenta-acetic acid (DTPA) to the transferrin membrane protein-targeting peptide HAIYPRH by a pH-sensitive hydrazone bond.\[41\] Under normal conditions (pH 7.4), the resulting G3-PEG-HAIYPRH-hydrazone-DTPA nanoparticle was not able to deliver a pDNA encoding for the green fluorescent protein, whereas the nanoparticle was considerably absorbed in tumour acidic environment (pH 6.5). In addition, the non-hydrolysable G3-PEG-HAIYPRH-DTPA/pDNA ensemble was inactive against transferrin-expressing cells in acidic conditions, demonstrating therefore the pH-activated detachment of the shielding molecule.

Then, combining gene and drug delivery may be regarded as a potential strategy for achieving enhanced therapeutic activities. Using the previously described vector G3-PEG-HAIYPRH, it has been shown that Dox as a chemotherapeutic drug to kill tumour cells, and anti-VEGF (i.e., vascular endothelial growth factor) as a gene to inhibit tumour angiogenesis, both synergically promoted a remarkable extend of median survival time in treated glioma-bearing mice (i.e., twice as long in comparison untreated animals).\[42\] Another level of sophistication can be added to the former nanoparticle approach by replacing the targeting ligand by an activatable cell-penetrating peptide that is dual-triggered by the pH and MMP-2.\[43\] The resulting nanotherapeutics displayed a superior efficiency against glioma as a result of both tumour targeting and internalization functions. This last example certainly describes one of the most elaborated DGL-based nanotherapeutics to date.
Imaging

Early detection and monitoring is essential to the successful treatment of many diseases including cancer and neurodegenerative diseases. Therefore, imaging agents should display high affinity, selectivity for targeted tissues or cells. Various strategies involving DGLs have been reported in the literature towards bioimaging.

- The coupling through a PEG linker of a conventional Gd
  - pentetic acid complex. When associated with a neuroectodermal tumour-specific ligand (i.e., chlorotoxin), the precise detection of glioma located behind the blood–brain barrier was possible by magnetic resonance imaging.[46]
- The delivery of a green fluorescent protein-encoding plasmid. When associated with a peptidic ligand for the low-density lipoprotein receptor-related protein (i.e., angiopep), the nanoparticles permitted the visualization by fluorescence microscopy of SH-SYSY cells, which are a model of dopaminergic neurons in Parkinson's disease.[45] At the same concentration, the DGL-based nanoparticles showed higher cellular uptake and gene expression compared to the PAMAM-based equivalent. In addition, they exhibited no apparent cytotoxicity against the cell line.
- The non-covalent absorption of DGLs on superparamagnetic iron oxide nanoparticles. When associated with a peptidic ligand for αvβ3 integrin receptors (i.e., RGD), the nanoparticles permitted in vivo magnetic resonance imaging of living mice bearing HepG2 tumours.[46]
- The coupling with an organic fluorophore (i.e., boron-dipyrromethene (Bodipy)) through a hydroxysuccinimide-amine reaction for the 3D localization of DGL/DNA complexes in mice by CT scanning.[47] In some cases, the optical signal may also be turned on by desired events, such as a pH-dependent electron transfer between the fluorophore and a quencher,[48] or the separation of the fluorophore and a quencher upon enzymatic cleavage.[49] Such approaches minimize the background signal for increased specificity and sensitivity of the images.

In most cases, the probes were associated with oligonucleotides and/or drugs for a concomitant diagnostic and therapeutic action. In a very recent example, Guo et al. decorated a third-generation DGL with two aptamers (i.e., a nucleolin-specific binding aptamer, AS1411, and a cytochrome c aptamer) for targeting, and a fluorophore (i.e., Cy 5.5) for imaging. Dox was intercalated into a DNA duplex containing an ATP aptamer, which was subsequently condensed by DGL to form a theragnostic nanoparticle (Figure 5).[50] This elegant system has demonstrated to not only selectively accumulate in the mitochondria of HeLa cancer cells, but also to generate a distinct near-infrared fluorescence signal in the tumour region and to promptly release the loaded Dox in virtue of the high concentrations of ATP in mitochondria.

Tissue engineering

Tissue engineering aims at the regeneration of damaged or dysfunctional tissues and organs through the use of polymeric scaffolds that promote cell adhesion and/or deliver growth factors. In the context of regenerative medicine for peripheral nerve, DGLs were identified to be superior to linear poly(ε-caprolactone) membrane, DGL G4, in association with a poly(ε-caprolactone) membrane, was also an effective nanoreservoir for the nerve growth factor; the resulting biomaterial being able to induce the full vascularization and innervation of a tooth in vivo.[52]

Biosensing

In a biosensing purpose, a third-generation generation DGL G3, immobilized on plasma activated polypropylene filters,
was reported to be an efficient capture agent for concentrating bacteriophage MS2, allowing the quantification of the model virus in large volumes of water.\[54] This approach relies on the non-covalent interactions that take place between positively charged dendrigrafts and the negatively charged surface of the viral particles. It is interesting to note that, since DGLs and bacteria also displayed high affinities based on electrostatic interactions,\[33] this approach could be extended to the detection and/or removal of pathogenic bacteria from contaminated waters. Recently, Vial et al. envisioned that a DGL might be able to mimic the highly basic protamine, a heparin neutralizing protein. Heparin is a polysulfated glycosaminoglycan (GAG) that is extensively administered to patients as an intravenous anticoagulant, and its quantification in clinical settings is highly desirable in order to prevent thrombophilia or bleeding disorders. It turned out that DGLs were able to form multiligand complexes with a poly-anionic fluorescent peptide, leading to the decrease of the optical signal that can be restored upon the introduction of heparin, in a typical indicator-displacement regime (Figure 6).\[54] This simple system allows—for the first time—the detection and the quantification of the anticoagulant in human blood at clinically relevant levels. In the same conditions and at comparable molecular weight, LPL was not able to bind the anionic probe, highlighting therefore the importance of the dendritic topology for a strong association event between the binding partners when working in complex media. Remarkably, the highly concentrated circulating protein serum albumin (i.e., about 40 grams per litre of blood), which was reported to display dissociation constants with DGLs in the micromolar range,\[53] did not seem to interfere with the sensing process, therefore highlighting the tremendous affinity of dendrigrafts for heparin. Also, depending on the loading of the indicator on the receptor, various GAGs induced an increase or a decrease of the optical signal as they displace the indicators from the receptor or they compact the indicators on the receptor’s surface, respectively.\[56] This unique feature allowed the blind identification of various GAGs by linear discrimination analysis with a level of accuracy of 100%.

Conclusions

Here, we deliver a digest of the diverse research works that involved the newcomer poly-L-lysine dendrigrafts so far. The ambition of this Minireview is a better exposure of these macromolecules, with the objective that they will eventually realize their full potential. Although DGLs have been reported in some biological studies to be superior to other linear or dendritic cationic polymers, their ability to actually respond to medical needs has still to be demonstrated. This includes clinical data, and imposes collaboration with physicians/medical researchers for potential clinical translations. In this biomedical context, the attractiveness of these macromolecules relies on their: 1) straightforward, robust and green synthesis on a multigram scale, 2) strong positive electrostatic potential and conformational elasticity for highly efficient interactions with biologically relevant anionic species, 3) chemical flexibility for further functionalization to implement desirable properties, and 4) biodegradability, low toxicity, and non-immunogenicity. Given these features and the success met so far by poly-L-lysine dendrigrafts, we believe that they are promising next-generation biopolymers for real-world applications.

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Conflict of interest

The authors declare no conflict of interest.

Keywords: antibacterial agents · biosensing · dendritic polymers · material and tissue engineering · non-viral vectors · poly-L-lysines

![Figure 6. Schematic representation of the indicator-displacement assay using a DGL-fluorescent indicator complex (top), and application to the sensing of heparin (HP) from heparinized human blood samples (bottom). Adapted with permission from reference [54], copyright: 2015 Royal Society of Chemistry.](image-url)
This Minireview aims at concisely presenting the innovative works reported to date in the literature on a very recent class of polycationic dendritic polymers, the poly-L-lysine dendrigrafts (DGLs). These macromolecules—in their native form or functionalized—have been extensively characterized, and already found numerous applications in the biomedical field such as drug and gene delivery, biomaterials, tissue engineering, bioimaging, and biosensing. For all the answers to your questions see the full article by J.-P. Francoia and L. Vial on page ff.